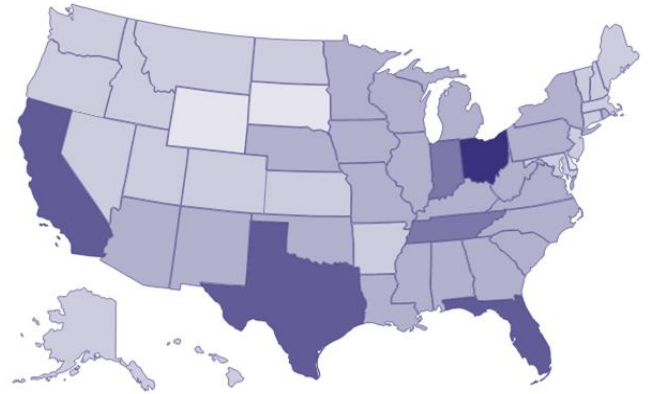


# CONTINUOUS RISK ALERTS

## Nationwide Criminal Data Sources and Type

### CRIMINAL CATEGORIES

Abduction Crimes  
Abortion Crimes  
Alcohol Crimes  
Arson Crimes  
Assault Crimes  
Conservation Law Crimes  
Court/ Police Custody Offenses  
Crimes against country  
Drug Crimes  
Election Crimes  
Family Crimes  
Financial Crimes  
Food/ Drug Related Crimes  
Forgery and Counterfeiting Crimes  
Fraud Crimes  
Gambling Crimes  
Homicide Crimes  
Immigration Crimes  
Material Witness  
Military Crimes  
Negative Behavior Crimes  
Negative Public Conduct Crimes  
Prisoner/Jail Crimes  
Prostitution Crimes  
Sex Crimes  
Theft Crimes  
Threat and Extortion Crimes  
Traffic Crimes  
Weapon or Explosives Crimes



### Jurisdictions:

- ✓ Federal Court
- ✓ Federal Bureau of Prisons
- ✓ State Court
- ✓ State Correction Offices
- ✓ County Sheriff Offices
- ✓ Police Dept.'s

### Status:

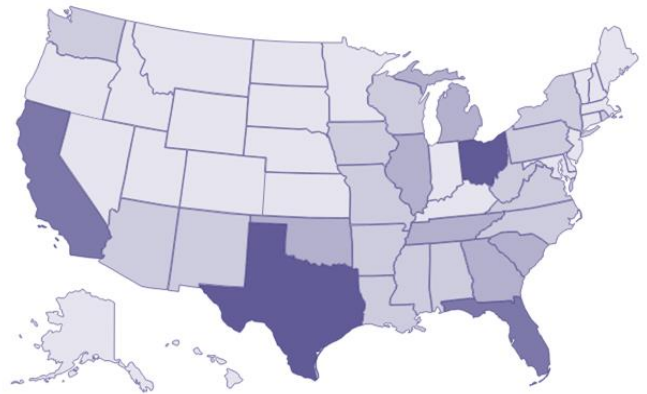
- ✓ Wants/ Warrants
- ✓ Arrest/ Booking
- ✓ Hearings
- ✓ Trial
- ✓ Sentencing
- ✓ Appeals
- ✓ Dept of Corrections
- ✓ Expungements

# CONTINUOUS RISK ALERTS

## Nationwide Civil Court Data Sources and Type

### CIVIL COURT CATEGORIES

Bankruptcies  
Child Support Orders  
Civil Harassments  
Contract Disputes  
Debt Collections  
Discriminations  
Divorces  
Elder Abuses  
Evictions  
Foreclosures  
General Disputes  
Income Deduction Orders  
Judgements  
Landlord/Tenant Disputes  
Liens  
Marriages  
Name Changes  
Negligence  
Pre-Foreclosures  
Probate/Estates  
Restraining Orders  
Small Claims  
Spousal Support Orders  
Tort  
Wrongful Terminations



### Jurisdictions:

- ✓ Federal Court
- ✓ State Court

### Status:

- ✓ Filings
- ✓ Hearings
- ✓ Trial
- ✓ Sentencing
- ✓ Appeals
- ✓ Expungements

# CONTINUOUS RISK ALERTS

## Criminal and Civil Jurisdiction Detail

### Federal Court

Supreme Court  
Appellate Court  
US District Court  
Subject Matter Jurisdiction Courts  
Extraterritorial Courts  
Former Appellate Courts  
Former US District Courts

### Federal BOP

Federal Bureau of Prisons

### State Court

Supreme Courts  
Appellate Courts  
Chancery Courts  
Superior Courts  
District Courts  
Circuit Courts  
County Courts  
Municipal/ City Courts  
Family Courts  
Juvenile Courts  
Problem-solving Courts  
Justice of the Peace/ Alderman Courts  
Claims, Magistrate, Judicial Courts  
Probate/ Estate Courts  
Tax/ Deed Courts  
Workers Comp/ Labor Courts

### State Corrections

Department of Corrections  
Juvenile Courts  
Parole/ Probation Courts

### County Sheriff Office

County Sheriff and/or Police Department

# CONTINUOUS RISK ALERTS

## Global Compliance

### GLOBAL LISTS FROM

USA  
Argentina  
Australia  
Austria  
Bahamas  
Bahrain  
Belgium  
Belize  
Bermuda  
Brazil  
British Virgin Islands  
Canada  
Cayman Islands  
Chile  
China  
Cyprus  
Czech  
Danish  
Dubai  
EU  
Finland  
France  
Germany  
Greece  
Hong Kong  
Hungarian  
India  
Indonesia  
Ireland  
Italy  
Japan  
Latin America  
Latvia  
Lithuania  
Luxembourg  
Macao  
Malta  
Mauritius  
Malaysia  
Mexico  
Netherlands  
New Zealand  
Nigeria  
Norway  
Pakistan  
Palestine  
Peru  
Philippines  
Polish  
Portugal  
Saudi Arabia  
Singapore  
Slovakia  
Slovenia  
South Africa  
South Korea  
Spain  
Switzerland  
Taiwan  
Thailand  
UK  
Uruguay

### Types:

- ✓ Sanctions
- ✓ Exclusions
- ✓ Watchlists
- ✓ Disbarments

### Jurisdictions:

- ✓ Internationally Managed
- ✓ Foreign Country
- ✓ Domestic Federal
- ✓ Domestic State

### International Depth:

- ✓ 130 + Countries
- ✓ 1600+ Global Sanctions Lists

*Plus 70 other Countries*

# CONTINUOUS RISK ALERTS

## Global Compliance

### Excerpt of USA (domestic):

Arizona Department of Insurance, USA  
Board of Governors of the Federal Reserve System - Enforcement Actions  
Boy Scouts of America Exclusions List  
Bureau of Industry and Security, USA  
CIA, USA  
Commodity Futures Trading Commission, USA  
Department of State – Non Proliferation Sanctions  
Excluded Parties List System - (SAM) System for Award Management List  
Excluded Parties List System (EPLS), USA  
FDA Clinical Investigators Compliance List  
FDA Clinical Investigators No Longer Restricted List  
FDA Clinical Investigators Notice of Initiation  
FDA Clinical Investigators Presiding Officer Report List  
FDA Clinical Investigators Restricted List  
FDA Debarment List  
Federal Deposit Insurance Corporation (FDIC) list of failed banks  
Federal Deposit Insurance Corporation Enforcement Actions  
Federal Deposit Insurance Corporation, USA  
Federal Register Notices  
Federal Reserve Board, USA  
Federal Trade Commission, USA  
Financial Action Task Force  
Financial Crimes Enforcement Network, USA  
Financial Industry Regulatory Authority (FINRA), USA  
FL Suspended Providers List  
Food and Drug Administration, FDA Clinical Investigators - Disqualification Proceedings  
FSA, UK CLOSED  
Health Education Assistance Loans (HEAL Default Borrowers)  
HHS-OIG (Department of Health & Human Services - Office of Inspector General  
IA Direct Care Worker Registry  
ID Medicare Excluded Providers  
IL Provider Sanction List  
Independent Commission Against Corruption  
MD Medicaid Program Sanctioned Providers  
Medicare Opt Out lists  
MI Department of Community Health List of Sanctioned Providers  
National Credit Union Administration, USA  
National Futures Association, USA  
NCUA Administrative Orders  
New York State Banking Department  
New York State Insurance Department, USA  
New York Stock Exchange, USA  
Office of Foreign Assets Control (OFAC) Specially Designated Nationals and Blocked Persons  
Office of Foreign Assets Control (OFAC), USA  
Office of the Comptroller of the Currency - (OCC) Enforcement Actions  
Office of the Comptroller of the Currency, USA  
Office of Thrift Supervision, USA  
Politically Exposed Persons List  
SAM.gov (System for Award Management)  
SC Excluded Providers  
Section 311 -Special Measures  
Securities and Exchange Commission Enforcement Actions - Federal Court Actions  
Securities and Exchange Commission, USA (ok to combine with above?)  
State Board Disciplinary Actions Sources for all states.  
State Medicaid Exclusion Sources  
State Secretariat for Economic Affairs  
Terrorism Knowledge Base  
TRICARE Sanctions List  
U.S. Agency for International Development (AID)  
U.S. Air Force (AF)  
U.S. Army (ARMY)  
U.S. Defense Logistics Agency (DLA)  
U.S. Department of Commerce – Bureau of Industry and Security (Denied Persons List, Unverified List, Entity List)  
U.S. Department of Defense (DOJ), Defense Health Agency, TRICARE Sanctions  
U.S. Department of Education (EDUC)  
U.S. Department of Energy (DOE)  
U.S. Department of Health & Human Services (HHS)  
U.S. Department of Health & Human Services, The Office of Research Integrity, PHS Administrative Action Bulletin Board  
U.S. Department of Homeland Security – Immigration and Customs Enforcement (DHS-ICE)  
U.S. Department of Housing and Urban Development (HUD)  
U.S. Department of Justice (DOJ)  
U.S. Department of Justice (DOJ), Drug Enforcement Administration (DEA), Office of Diversion Control, Criminal Cases Against Doctors

# CONTINUOUS RISK ALERTS

## Global Compliance

### Continued:

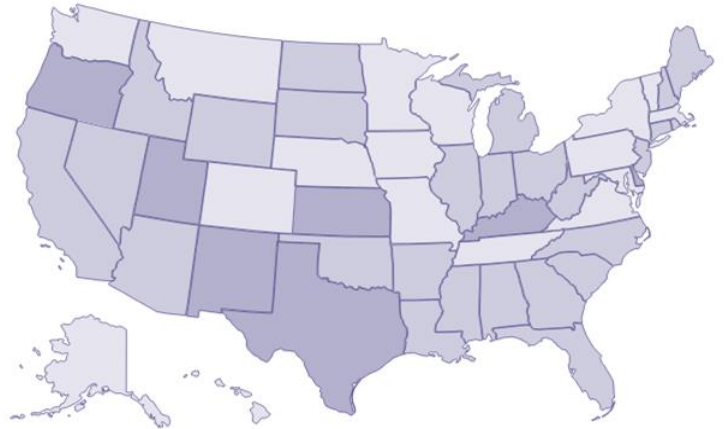
U.S. Department of Labor (DOL)  
U.S. Department of State – Bureau of International Security and Non-proliferation  
U.S. Department of State – Directorate of Defense Trade Controls (AECA Debarred List)  
U.S. Department of State (DOS)  
U.S. Department of the Interior (DOI)  
U.S. Department of the Treasury - Office of Foreign Assets Control (TREAS-OFAC)  
U.S. Department of the Treasury (TREAS)  
U.S. Department of Veterans Affairs (VA)  
U.S. DHS - Federal Emergency Management Agency (DHS-FEMA)  
U.S. DOE - National Nuclear Security Administration (DOE-NNSA)  
U.S. DOS - Office of Missile, Biological, and Chemical Nonproliferation (DOS-MBC)  
U.S. DOT - Federal Highway Administration (DOT-FHWA)  
U.S. DOT - Federal Transit Administration (DOT-FTA)  
U.S. Environmental Protection Agency (EPA)  
U.S. Food and Drug Administration, FDA Clinical Investigators - Disqualification Proceedings  
U.S. Food and Drug Administration, FDA Debarment List (Drug Product Applications)  
U.S. Food and Drug Administration, FDA Debarment List (Food Importation)  
U.S. Food and Drug Administration, FDA Warning Letters  
U.S. General Services Administration (GSA)  
U.S. Government Accountability Office (GAO)  
U.S. Government Printing Office (GPO)  
U.S. National Aeronautics and Space Administration (NASA)  
U.S. National Science Foundation (NSF)  
U.S. Navy (NAVY)  
U.S. Office of Personnel Management (OPM)  
U.S. Postal Service (PS)  
U.S. Small Business Administration (SBA)  
U.S. USDA - Farm Service Agency (USDA-FSA)  
UK Disqualified Directors  
United Nations Security Council Committee  
US Air Force Fugitives  
US Air Force Office of Special Investigations, USA  
US Bureau of Alcohol, Tobacco and Firearms and Explosives, USA  
US Court of International Trade, USA  
US Department of Commerce  
US Department of Housing and Urban Development Denial of Participation List  
US Department of Justice, USA  
US Department Of State Terrorist Exclusion List  
US Department of State, USA  
US Drug Enforcement Administration, USA  
US Immigration and Customs Enforcement, USA  
US Marshals Service, USA  
US Naval Criminal Investigative Service, USA  
US Postal Inspection Service, USA  
US Rewards for Justice, USA  
US Secret Service, USA  
USDA's Food and Nutrition Service (USDA-FNS)  
USDA's Risk Management Agency (USDA-RMA)  
WA Social and Health Services Facility Sanctions List  
FBI Regional Press Releases  
Federal Bureau of Investigation Most Wanted Additional Violent Crimes  
Federal Bureau of Investigation Most Wanted Crime Alerts  
Federal Bureau of Investigation Most Wanted Crimes Against Children  
Federal Bureau of Investigation Most Wanted Criminal Enterprise Investigations  
Federal Bureau of Investigation Most Wanted Cyber Crimes  
Federal Bureau of Investigation Most Wanted Domestic Terrorists  
Federal Bureau of Investigation Most Wanted Seeking Information  
Federal Bureau of Investigation Most Wanted Terrorists  
Federal Bureau of Investigation Most Wanted Violent Crimes - Murders  
Federal Bureau of Investigation Most Wanted White Collar Crimes  
Federal Bureau of Investigation Top Ten Most Wanted  
Federal Bureau of Investigation Fugitives  
Federal Bureau of Investigation Counterintelligence  
Federal Bureau of Investigation Trafficking  
Office Of Inspector General - Most Wanted Health Care Fugitives  
Secret Service Most Wanted  
US Immigration and Customs Enforcement Most Wanted Criminal Aliens

# CONTINUOUS RISK ALERTS

## Professional Licenses

### LICENSE CATEGORIES

Accounting  
Law  
Insurance  
Architecture  
Engineering  
Surveying  
Real Estate  
Healthcare



### Jurisdictions:

- ✓ Federal
- ✓ State
- ✓ County